



12/16/99

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this paper and the documents and/or fees referred to as attached therein are being deposited with the United States Postal Service on December 16, 1999 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR §1.10, Mailing Label Number EL243911906US, addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

Attorney Docket No.: SUN1P502

First Named Inventor: Bartley H. Calder

Dionna Holmes
Dionna Holmes



UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR. § 1.53(b))

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

☐ Duplicate for
fee processing

Sir: This is a request for filing a patent application under 37 CFR. § 1.53(b) in the name of inventors:
Bartley H. Calder, Tao Ye, Jesus David Rivas, Jonathan D. Courtney, and Keith L. Messer

For: **METHODS AND APPARATUS FOR MANAGING AN APPLICATION**

Application Elements:

- ☒ 42 Pages of Specification, Claims and Abstract
- ☒ 15 Sheets of **informal** Drawings
- ☒ 3 Pages Combined Declaration and Power of Attorney (executed by Messer)
- ☒ 3 Pages Combined Declaration and Power of Attorney (executed by all other inventors)

Accompanying Application Parts:

- ☒ 2 separate Assignments and 2 separate Assignment Recordation Cover Sheets (one for Messer and one for all other inventors) (recording fee of \$40.00 enclosed)
- ☐ 37 CFR 3.73(b) Statement by Assignee
- ☐ Information Disclosure Statement with Form PTO-1449
 - ☐ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard
- ☐ Small Entity Statement(s)
- ☐ Other:

Fee Calculation (37 CFR § 1.16)

	(Col. 1) <u>NO. FILED</u>	(Col. 2) <u>NO. EXTRA</u>	<u>SMALL ENTITY</u>		<u>OR</u>	<u>LARGE ENTITY</u>	
			<u>RATE</u>	<u>FEE</u>		<u>RATE</u>	<u>FEE</u>
BASIC FEE			\$380	\$	OR	\$760	= \$760
TOTAL CLAIMS	<u>46</u> -20 = <u>26</u>		x 9 = \$		OR	26 x 18 = \$468	
INDEP CLAIMS	<u>8</u> -03 = <u>5</u>		x 39 = \$		OR	5 x 78 = \$390	
[] Multiple Dependent Claim Presented			\$130 = \$		OR	\$260 = \$	
* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.			Total	\$	OR	Total	\$1,618

☒ Check No. 2330 in the amount of \$1,658 is enclosed.

☒ The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 50-0388 (Order No. SUN1P502).

General Authorization for Petition for Extension of Time (37 CFR §1.136)

☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 50-0388 (Order No. SUN1P502).

☒ Please send correspondence to the following address:

Customer Number 022434
BEYER & WEAVER, LLP
P.O. Box 61059
Palo Alto, CA 94306
Telephone (510) 843-6200
Fax (510) 843-6203



Date: 12/16/99

Elise Heilbrunn
Registration No. **42,649**